

# The K axiom in Coq (almost) for free<sup>1</sup>

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<sup>1</sup>Work realized at Radboud Universiteit Nijmegen

## Introduction

An implementation of K in Coq

Other useful instances

Equiconsistency of  $\text{CIC} + \mathcal{C}^+$  with  $\text{CIC} + \text{K} + \kappa$

Conclusion & Further work



# The Altenkirch-Streicher K axiom

Using Coq notations :

▶ Identity relation

$\text{eq} : \forall A : \text{Type}, A \rightarrow A \rightarrow \text{Prop}$  (notation  $x =_A y$ )

$\text{refl} : \forall A : \text{Type}, \forall x : A, x =_A x$



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- ▶ Uniqueness of Identity Proofs (UIP) :

$\forall A : \text{Type}, \forall x, y : A, \forall p, q : x =_A y, p =_{x=Ay} q$



# The Altenkirch-Streicher K axiom

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▶ Uniqueness of Identity Proofs (UIP) :

$\forall A : \text{Type}, \forall x, y : A, \forall p, q : x =_A y, p =_{x=A} y} q$

▶ The Altenkirch-Streicher K axiom :

$K : \forall A : \text{Type}, \forall x : A, \forall P : x =_A x \rightarrow \text{Type},$

$P(\text{refl}_A x) \rightarrow \forall h : x =_A x, P h$

reduction :  $K A x P h(\text{refl}_A x) \rightsquigarrow_{\kappa} h$



# Historical background

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**Intuitionistic Type Theory**  
Proofs as first class objects  
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**The groupoid interpretation of Type Theory**  
ITT  $\not\equiv$  K (Counter-model)



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**Intuitionistic Type Theory**  
Proofs as first class objects  
Allows to express UIP and K, not to derive them
- ▶ Thierry Coquand (1992)  
**Pattern-matching with dependent types**  
ALF implements the K axiom :  
 $\{e \mapsto \text{refl}_A x\}$  covering for  $\{A : \text{Set}, x : A, e : x =_A x\}$   
hence  $K A x P h (\text{refl}_A x) \mapsto h$  yields a valid definition
- ▶ Thomas Streicher & Martin Hoffman (1994) :  
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# Problematics

In the Coq system :

- ▶ K is independent from CIC
- ▶ K doesn't fit the general scheme for inductive families
  - ▶  $\text{eq} : \forall A : \text{Type}, A \rightarrow A \rightarrow \text{Prop}$  (notation  $x =_A y$ )
  - ▶ elimination predicate **must** be  $P : \forall y : A, x =_A y \rightarrow \text{Type}$
- ▶  $K : \text{eliminator for subfamily } \lambda A. \lambda x. x =_A x$   
We need  $P : x =_A x \rightarrow \text{Type}$

Solution : relax the pattern-matching **typing** rule



# Expected outcome

Internalisation of K into the Coq syntax

Simple syntactic counterparts for well-known consequences of K

- ▶ Equivalence between Heterogeneous (JMeq) and Leibniz equalities
- ▶ Monomorphic replacement for JMeq
- ▶ Injectivity of the second projection for dependent pairs
- ▶ Equality between deBruijn telescopes

Major consequence : JMeq as the default equality

- ▶ Equational reasoning with dependent types



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# Changing replacement into K

▶ Leibniz equality

eq :  $\forall A : \text{Type}, A \rightarrow A \rightarrow \text{Prop}$  (notation  $x =_A y$ )

refl :  $\forall A : \text{Type}, \forall x : A, x =_A x$

▶ Dependent elimination scheme

$\lambda A : \text{Type}. \lambda x : A.$

$\lambda P : \quad \forall y : A, \quad x =_A y \rightarrow \text{Type}.$

$\lambda H : P x (refl_A x).$

$\lambda y : A. \quad \lambda h : x =_A y.$

match h in  $\_ = y$  return P y h

with refl  $\Rightarrow$  H end

We force the value of indices with `let ... in` definitions.



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▶ K axiom

$\lambda A : \text{Type}. \lambda x : A.$

$\lambda P : \quad \quad \quad x =_A x \rightarrow \text{Type}.$

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▶ K axiom with `let...in`

$\lambda A : \text{Type}. \lambda x : A.$

$\lambda P : \text{let } y : A := x \text{ in } x =_A y \rightarrow \text{Type}.$

$\lambda H : P \text{ (refl}_A x \text{)}.$

$\text{let } y : A := x \text{ in } \lambda h : x =_A y.$

$\text{match } h \text{ in } \_ = y \text{ where } y := x \text{ return } P y h$

$\text{with refl} \Rightarrow H \text{ end}$

We force the value of indices with `let...in` definitions.



# A generic inductive definition

## Example

Inductive I ( $p_1 : P_1$ )...( $p_{n'} : P_{n'}$ ) :  $\forall(y_1 : A_1) \dots (y_p : A_{n''})$ ,  $\mathcal{S} :=$

$C_1 : \forall(b_{1,1} : B_{1,1}) \dots (b_{1,k_1} : B_{1,k_1})$ , I  $p_1 \dots p_m t_{1,1} \dots t_{1,p}$

...

|  $C_n : \forall(b_{n,1} : B_{n,1}) \dots (b_{n,k_n} : B_{n,k_n})$ , I  $p_1 \dots p_m t_{n,1} \dots t_{n,p}$ .

Nomenclature :

- ▶  $p_1 \dots p_{n'}$  parameters
- ▶  $y_1 \dots y_{n''}$  indices
- ▶  $b_{i,1} \dots b_{i,k_i}$  arguments of constructor  $C_i$



# The current typing rule

Typing rule for pattern-matching on l

$$\frac{
 \begin{array}{l}
 \Gamma \vdash u : l \vec{p} \vec{a} \quad \Gamma, \vec{y} : \vec{A}, x : (l \vec{p} \vec{y}) \vdash P : S' \\
 \Gamma, \vec{b}_i : \vec{B}_i \vdash F_i : P[\vec{t}_i / \vec{y}; (C_i' \vec{p} \vec{b}_i) / x] \quad i=1..n
 \end{array}
 }{
 \begin{array}{l}
 \text{match } u \text{ as } x \text{ in } l y_1 \dots y_{n'} \text{ return } P \text{ with} \\
 C_1 b_{1,1} \dots b_{1,k_1} \Rightarrow F_1 \\
 \vdots \\
 C_n b_{n,1} \dots b_{n,k_n} \Rightarrow F_n \\
 \text{end}
 \end{array}
 } C$$

$$\Gamma \vdash \quad : P[\vec{a} / \vec{y}; u / x]$$





# The new typing rule

Instantiation of contexts :

declaration  $(y : T)$  in  $\Gamma$  ( $y \in \text{dom } \sigma$ ) becomes

local definition **let**  $y := \sigma y$  **in** in  $\Gamma\sigma$

$$\frac{
 \begin{array}{l}
 \Gamma \vdash u : l \vec{p} \vec{a} \quad a_j \approx y_j \sigma(y_j \in \text{dom } \sigma) \quad \Gamma, (\vec{y} : \vec{A})\sigma, x : (l \vec{p} \vec{y}) \vdash P : S' \\
 \Gamma, \vec{b}_i : \vec{B}_i \vdash F_i : (P\sigma)[\vec{t}_i/\vec{y}; (C_i^l \vec{p} \vec{b}_i)/x] \quad t_j \approx y_j \sigma(y_j \in \text{dom } \sigma) \quad i=1..n
 \end{array}
 }{
 \begin{array}{l}
 \text{match } u \text{ as } x \text{ in } l y_1 \dots y_{n'} \text{ where } \sigma \text{ return } P \text{ with} \\
 C_1 b_{1,1} \dots b_{1,k_1} \Rightarrow F_1 \\
 \vdots \\
 C_n b_{n,1} \dots b_{n,k_n} \Rightarrow F_n \\
 \text{end}
 \end{array}
 }_{C^+}$$



# The new reduction rule

Same  $\iota$ -rule as before.



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# The (J.M.) heterogeneous equality

► Definition

$JMeq : \forall A : \text{Type}, A \rightarrow \forall B : \text{Type} B \rightarrow \text{Prop}$  (notation  
 $(x : A) = (y : B)$ )

$JMrefl : \forall A : \text{Type}, \forall x : A, (x : A) =_A (x : A)$

► Elimination scheme : Polymorphic replacement

$\lambda A : \text{Type} . \lambda x : A .$

$\lambda P : \quad \forall B : \text{Type}, \quad \forall y : B,$   
 $(x : A) = (y : B) \rightarrow \text{Type} .$

$\lambda H : P A x (JMrefl_A x).$

$\lambda B : \text{Type}, \quad \lambda y : B, \quad \lambda h : (x : A) = (y : B).$   
 $\text{match } h \text{ in } (\_ : \_) = (y : B)$

$\text{return } P A x h$

$\text{with } JMrefl \Rightarrow H \text{ end}$



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$JMeq : \forall A : \text{Type}, A \rightarrow \forall B : \text{Type} B \rightarrow \text{Prop}$  (notation  
 $(x : A) = (y : B)$ )

$JMrefl : \forall A : \text{Type}, \forall x : A, (x : A) =_A (x : A)$

► Elimination scheme : Monomorphic replacement

$\lambda A : \text{Type} . \lambda x : A.$

$\lambda P : \text{let } B : \text{Type} := A \text{ in } \quad \forall y : B,$   
 $(x : A) = (y : B) \rightarrow \text{Type} .$

$\lambda H : P \quad x (JMrefl_A x).$

$\text{let } B : \text{Type} := A \text{ in } \quad \lambda y : B, \quad \lambda h : (x : A) = (y : B).$   
 $\text{match } h \text{ in } (\_ : \_) = (y : B) \text{ where } B := A$   
 $\text{return } P \quad x h$   
 $\text{with } JMrefl \Rightarrow H \text{ end}$



# The (J.M.) heterogeneous equality

► Definition

$\text{JMeq} : \forall A : \text{Type}, A \rightarrow \forall B : \text{Type} B \rightarrow \text{Prop}$  (notation  
 $(x : A) = (y : B)$ )

$\text{JMrefl} : \forall A : \text{Type}, \forall x : A, (x : A) =_A (x : A)$

► Elimination scheme : K axiom for JMeq

$\lambda A : \text{Type} . \lambda x : A .$

$\lambda P : \text{let } B : \text{Type} := A \text{ in let } y : B := x \text{ in}$   
 $(x : A) = (y : B) \rightarrow \text{Type} .$

$\lambda H : P \quad (\text{JMrefl}_A x).$

$\text{let } B : \text{Type} := A \text{ in let } y : B := x \text{ in } \lambda h : (x : A) = (y : B) .$

$\text{match } h \text{ in } (\_ : \_) = (y : B) \text{ where } B := A; y := x$   
 $\text{return } P \quad h$

$\text{with } \text{JMrefl} \Rightarrow H \text{ end}$



# Injectivity of second projection

We want to show that

$$\forall (P : A \rightarrow \text{Type})(a : A)(x, y : P a), \\ \langle a, x \rangle =_{\Sigma P} \langle a, y \rangle \rightarrow x =_{(P a)} y$$

First we show that

$$\forall (P : A \rightarrow \text{Type})(a : A)(x, y : P a), \\ \langle a, x \rangle =_{\Sigma P} \langle a, y \rangle \rightarrow (x : P a) = (y : P a)$$

by elimination along  $\lambda p : \Sigma P. (x : P a) = (\pi_2 p : P (\pi_1 p))$  We conclude using the new JM monomorphic replacement scheme.



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# Proof Sketch

We show the equiconsistency of  $\text{CIC} + \mathcal{C}^+$  and  $\text{CIC} + \text{K} + \kappa$  by making each system simulate the reductions of each other.

1.  $\text{K}$  and  $\kappa$  can be simulated using  $\mathcal{C}^+$
2. We need a simulation of  $\text{CIC} + \mathcal{C}^+$  in  $\text{CIC} + \text{K} + \kappa$   
Idea : encode inductive families using equality  
Works only for **non-recursive** families



# Translation of Inductive families

Indices of non-recursive family  $I$  become parameters of family  $I'$ .

The inductive set  $I'$  has constructors  $C'_i, i = 1..n$  such that :

$$C'_i \vec{p} \vec{a} : \forall \vec{b}_i : \vec{B}_i. \langle \vec{t}_i \vec{b}_i \rangle = \langle \vec{a} \rangle \rightarrow I' \vec{p} \vec{a}$$

We can then translate

$$\widehat{C}'_i =_{\text{def}} \lambda \vec{p}. \lambda \vec{b}_i. C'_i \vec{p} (\widehat{\vec{t}}_i \vec{b}_i) \vec{b}_i (\text{refl}_= \langle \widehat{\vec{t}}_i \vec{b}_i \rangle)$$



# Translation of pattern-matching

A match expression like :

**match**  $u$  **as**  $x$  **in**  $I y_1 \dots y_n$  **where**  $\sigma$  **return**  $P$  **with**

$C_1 \vec{b}_1 \Rightarrow F_1$

⋮

|  $C_n \vec{b}_n \Rightarrow F_n$

**end**

becomes

**match**  $u$  **as**  $x$  **in**  $I'$  **return**  $P_\sigma[\vec{a}/\vec{y}; u/x]$  **with**

$C_1 \vec{b}_1 \vec{e}_1 \Rightarrow \{\vec{J}/\vec{K}\} F_1 \vec{e}_1$

⋮

|  $C_n \vec{b}_n \vec{e}_n \Rightarrow \{\vec{J}/\vec{K}\} F_n \vec{e}_n$

**end**

Use of J or K depends on whether  $y \in \text{dom } \sigma$  or not.



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# Implementation ?

- ▶ No conceptual difficulty (only conversion checks)
- ▶ Engineering problem : keeping track of  $\sigma$ 
  - ▶ as non-removable let-in's
  - ▶ as a telescope
  - ▶ as a list of  $\lambda$ -abstractions
- ▶ Parallel proposals :
  - ▶ Full proof-irrelevance (B. Werner)
  - ▶ K + inversion constraints (J.-L. Sacchini et al.)
- ▶ Lots of implementation ahead (kernel, virtual machine)
- ▶ Porting Coq equality to JMeq might have unforeseen consequences

